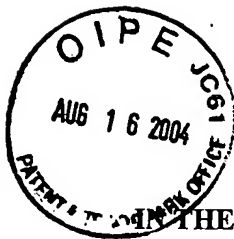


PARA.005A



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lilip Lau, et al) Group Art Unit 3736
App. No. : 10/242,016)
Filed : September 10, 2002)
For : DEVICE FOR TREATING)
HEART FAILURE)
)

INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:


Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Applicants would also like to bring to the Examiner's attention copending U.S. Application No. 09/634,043, which is also owned by the Assignee of the above-captioned application. At the time of the invention of the above-captioned application, the inventors were under an obligation to assign the invention to the Assignee.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 12/27/02

By: 
Glen L. Nuttall
Registration No. 46,188
Attorney of Record
Customer No. 20,995
(949) 760-0404

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
PARA.005AAPPLICATION NO.
10/242,016

INFORMATION DISCLOSURE STATEMENT

BY APPLICANT
AUG 16 2004
(USE SEVERAL SHEETS IF NECESSARY)APPLICANT
Lilip Lau, et alFILING DATE
September 10, 2002GROUP
3736

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
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EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) | ATTY. DOCKET NO. PARA.005A | APPLICATION NO. 10/242,016 |
| | APPLICANT Lilip Lau, et al | |
| | FILING DATE September 10, 2002 | GROUP 3736 |

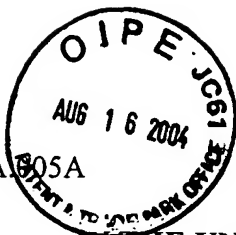
| U.S. PATENT DOCUMENTS | | | | | | | |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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| | 42 | Eli R. Capouya, M.D., et al, <i>GIRDLING EFFECT ON NONSTIMULATED CARDIOMYOPLASTY ON LEFT VENTRICULAR FUNCTION</i> , <u>Society of Thoracic Surgeons</u> , Pages 867-871, 1993. |

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| EXAMINER | DATE CONSIDERED |
| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. | |



PARA 805A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lilip Lau et al.) Group Art Unit 3736
App. No. : 10/242,016)
Filed : September 10, 2002)
For : DEVICE FOR TREATING)
HEART FAILURE)
Examiner : Unknown)

SUPPLMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 3/5/03

By: [Signature]

Glen L. Nuttall
Registration No. 46,188
Attorney of Record
Customer No. 20,995
(949) 760-0404

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
PARA.005AAPPLICATION NO.
10/242,016SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Lilip Lau et al.FILING DATE
September 10, 2002GROUP
3736

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|-----------------|----------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
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OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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PARA.005A



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lilip Lau, et al.) Group Art Unit 3736
App. No. : 10/242,016)
Filed : *Sept 10, 2002*)
For : DEVICE FOR TREATING)
HEART FAILURE)
Examiner : Unknown)

INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

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Respectfully submitted,

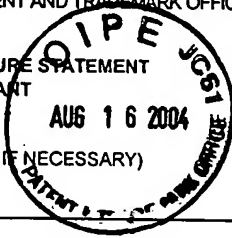
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 11/31/03

By: 

Glen L. Nuttall
Registration No. 46,188
Attorney of Record
Customer No. 20,995
(949) 760-0404

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|---|--|-----------------------------------|-------------------------------|
| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. PARA.005A | APPLICATION NO. 10/242,016 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) | | APPLICANT Lilip Lau, et al. | |
| | | FILING DATE September 10, 2002 | GROUP 3736 |



| U.S. PATENT DOCUMENTS | | | | | | | |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | | YES | NO |
| | 2 | WO 00/36995 | 06/29/00 | PCT | | | | |
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| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | |
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